

Certification Regarding Trading Control and Ownership of the Account

- As a regulated securities and commodity futures broker, Interactive Brokers is required to know who controls the day to day trading in each customer account and who owns a significant ownership interest in each account.
- Account holder certifies that account holder is the sole owner of all assets in the account.
- Account holder certifies that account holder is the only person with trading control over the account unless account holder grants trading authority to additional persons by using the proper forms provided on the Interactive Brokers website.