



## Notice Regarding USA Patriot Act Section 311

Pursuant to U.S. regulations issued under Section 311 of the USA PATRIOT Act, Interactive Brokers will require additional special measures for customers establishing, maintaining, administering or managing an account for, or on behalf of any Section 311 entities. The most recent listing of 311 entities as promulgated by FinCEN, as of April 2, 2020, is as follows:

- ABLV Bank, AS
- Banco Delta Asia
- Bank of Dandong
- Any bank or financial institution of Burma
- Commercial Bank of Syria (includes Syrian Lebanese Commercial Bank)
- Any bank or financial institution of the Democratic People's Republic of Korea
- FBME Bank Ltd.
- FBM Finance Ltd.
- Halawi Exchange Co.
- Any bank or financial institution of the Islamic Republic of Iran
- Kassem Rmeiti & Co for Exchange

Any customers involved with 311 entities will be subject to further review and determination on whether Interactive Brokers will continue the relationship. Additionally, your account with Interactive Brokers may not be used to provide services for the above that would violate other U.S. regulations.

Interactive Brokers may, from time to time, update this notice to include jurisdictions or entities that are added to FinCEN's Section 311 list. Current listings of entities subject to final or proposed rules under Section 311 can be found on the FinCEN website.